

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Michel BRUN et al.

Title:

HEATING ASSEMBLY COMPRISING A PTC

ELEMENT, IN PARTICULAR FOR A MOTOR VEHICLE

Appl. No.:

10/574,543

International

9/15/2004

Filing Date:

371(c) Date:

08/15/06

Examiner:

Daniel Leon Robinson

Art Unit:

3742

Confirmation

4051

Number:

#### TERMINAL DISCLAIMER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Your Petitioner, BEHR FRANCE ROUFFACH SAS, having its principal place of business at 5, Avenue de la Gare, 68250 Rouffach, France, represents that it is the owner of the entire right, title, and interest in and to U.S. Patent Application Serial No. 10/574,543, filed August 15, 2006, which is a national stage of International Application No. PCT/EP2004/010328, filed September 15, 2004, by virtue of an Assignment filed and recorded on August 15, 2006, on Reel/Frame 018126/0153, in the United States Patent and Trademark Office, a copy of which is attached hereto as APPENDIX A. Further, your Petitioner represents that it is the owner of U.S. Patent No. 7,399,948, which issued on U.S. Patent Application No. 10/959,608, filed October 7, 2004, by virtue of an Assignment filed and recorded on February 3, 2005, on Reel/Frame 016228/0188, and a Name Change filed on July 14, 2009 and recorded on Reel/Frame 022951/0293, and a Name Change filed on July

92 FC:1814

22, 2009 and recorded on Reel/Frame 022980/0776 in the United States Patent and Trademark Office, copies of which are attached hereto as APPENDIX B.

Your Petitioner, BEHR FRANCE ROUFFACH SAS, hereby disclaims the terminal part of the term of any patent granted on the above identified patent application which would extend beyond the full statutory term, as shortened by any terminal disclaimer, of U.S. Patent 7,399,948, and hereby agrees that any patent so granted on the above identified patent application shall be enforceable only for and during such period that the legal title to U.S. Patent 7,399,948 shall be the same as the legal title to any patent granted on the above identified patent application, this agreement to run with any patent granted on the above identified patent application and to be binding upon the grantee, its successors or assigns.

In making the above disclaimer, Petitioner does not disclaim any terminal part of any patent granted on the above identified patent application, prior to the full statutory term of U.S. Patent 7,399,948 as defined in 35 U.S.C. §§154-156 and 173, in the event that U.S. Patent 7,399,948 expires for failure to pay a maintenance fee, is held unenforceable or is found invalid in a final judgment by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR §1.321(a), has all claims canceled by a reexamination certificate or as a result of an interference proceeding, or is otherwise not deemed to provide the rights conveyed by 35 USC §154, prior to the full statutory term of U.S. Patent 7,399,948 as defined in 35 USC §§154-156 and 173, except for the separation of legal title stated above. Further, Petitioner does not disclaim any terminal part of a patent granted on the above identified patent application that would extend beyond the present termination of U.S. Patent 7,399,948, in the event that such present term is extended by virtue of compliance with the conditions for term extension of any present or future patent term extension provisions of the patent law, including but not limited to 35 U.S.C. §§155, 155A or 156, and without waiving Petitioner's right to extend the term of a patent granted on the above identified patent application to the extent provided by law.

The undersigned, being the Attorney of Record for the above identified patent application, and duly authorized to act on behalf of Petitioner, certifies that he has reviewed the Assignments attached as APPENDICES A and B, and to the best of his knowledge and

belief, legal title to the above identified patent application and U.S. Patent 7,399,948 rests with Petitioners, BEHR FRANCE ROUFFACH SAS. The undersigned declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing therefrom.

Respectfully submitted,

Date **7/23/09** 

FOLEY & LARDNER LLP Customer Number: 22428

Telephone: (202) 672-5540 Facsimile: (202) 672-5399 Paul D. Strain

Paul D. Strain
Attorney for Applicant
Registration No. 47,369

# APPENDIX A



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 17, 2006

PTAS

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\*700281777A\* ·

RICHARD L. SCHWAAB FOLEY & LARDNER LLP WASHINGTON HARBOUR 3000 K STREET NW, SUITE 500 WASHINGTON, D.C. 20007-5143

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 08/15/2006

REEL/FRAME: 018126/0153

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 016906-0483

ASSIGNOR:

BRUN, MICHEL

DOC DATE: 05/23/2006

ASSIGNOR:

SCHMITTHEISLER, CHRISTOPHE

. . . . .

DOC DATE: 05/23/2006 .

ASSIGNOR:

MISS, PASCAL

DOC DATE: 05/23/2006

ASSIGNOR:

MUNDEL, MAXIME

DOC DATE: 05/25/2006

ASSIGNOR:

MOUGEY, MATHIEU

DOC DATE: 05/23/2006

RightFax

8/1006 8:30 PAGE 003/004 Fax Serve

018126/0153 PAGE 2

ASSIGNEE:

. - ----

BEHR FRANCE ROUFFACH SAS 5, AVENUE DE LA GARE 68250 ROUFFACH, FRANCE

SERIAL NUMBER: 10574543

FILING DATE: ISSUE DATE:

PATENT NUMBER:

TITLE: HEATING ASSEMBLY COMPRISING A PTC ELEMENT IN PARTICULAR FOR A MOTOR

VEHICLE

LAZENA MARTIN, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

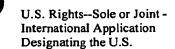
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## 700281777

Atty, Dkt. No. 016906-0483

"	RECORDATION FOR PATENT	SONLY	
-	To the Director of the United States Patent and Trademark Office:	Please record the attached original documents or copies th	ereol.
L	,	2. Name and address of receiving party(les):	
Γ	1. Name of convaying party(ies):		1
	Michel BRUN (May 23, 2006) Christopho SCHMITTHEISLER (May 23, 2006) Pascal MISS (May 23, 2006) Maxime MUNDEL (May 25, 2006) Mathieu MOUGEY (May 23, 2006)	BEHR FRANCE ROUFFACH SAS 5, Avenue de la Gare 68250 Rouffach France	
١	······································		Į
- }	Additional conveying party(ies) NO		Į
t	3 Nature of conveyance:		
1	Assignment		,
	Execution Date: See Dates Above	Additional name(s) & address(es) attached? NO	<u>-</u>
	4. Application number(s) or patent number(s):		•
ı	If this is being filed together with a new application, the exc	ecution date of the application is:	Ţ
ı		B. Patent Number(s):	i
1	A. Patent Application Number(s):	B. Paletti Number(5).	1
Ì	10/574,543		Į.
Ì	the definition of the contract	pers attached? NO	
: Du	5. Name and address of party to whom correspondence	6. Total number of applications/patents involved:	
	l concerning ductiment should be mailed:	7. Total fee (37 C.F.R. § 3.41): 3 540.04	j — <u> </u>
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	Richard L. Schwank	Chack Enclosed:  X Authorized to be charged to credit card	ilkazo-osa 1 💆
	FOLEY & LARDNER LLP Washington Harbour	Authorized to be charged to deposit account	č
	3000 K Street NW, Suite 500	8. Payment Information	9
	Washington, D.C. 20007-5143	Credit Card Lest 4 Numbers 6242	
		Expiration Date 11/30/2009	B
		b. Deposit account number 19-0741 Authorized User Name	
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	copy of the original document. The Commissioner is literal be required in this matter to the above-identified deposit act.	ng information is true and correct and any attached co y authorized to charge any additional recordation fees count.  August 16, 2	
	Richard L. Schwaab	<b>-1</b>	ale
	Name of person signing		



International Application No.: PCT/EP2004/010328

Attorney Docket No.: 016906-0483

and/or further identified as: U.S. Serial No.:

#### **ASSIGNMENT**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

name and

BEHR FRANCE ROUFFACH SAS

address of

5, avenue de la Gare

assignee

F-68250 Rouffach, France

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to this invention relating to

title of invention

HEATING ASSEMBLY COMPRISING A PTC ELEMENT, IN PARTICULAR FOR A MOTOR VEHICLE

as set forth in this International Application designating the United States and/or this United States Patent Application as a National Stage application or otherwise claiming the benefit of the International Application

Check at	executed concurrently herewith	
least one	cxecuted on	
	□ U.S. Serial No Filed	
	☑ International Application No. PCT/EP2004/010328 filed Septem	ber 15, 2004

in and to said International Application designating the United States and/or United States Patent Application, including any and all divisions or continuations thereof, and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he or she has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he or she has not executed and will not execute any document or instrument in conflict herewith;

International Application No.: PCT/EP2004/010328

Attorney Docket No.: 016906-0483

Each of the undersigned further covenants and agrees he or she will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him or her relating to said invention or patent application and that he or she will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of FOLEY & LARDNER LLP the power to insert in this Assignment any further information, such as an application number, which may be necessary or desirable to further identify the application in compliance with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

NAMES AND SIGNATURES OF INVENTORS				
Name: Michel BRUN	Signature:	16	Date: 13.08. 66	
Name: Christophe SCHMITTHEISLER	Signature:	TLU	Date: 23,05,06	
Name: Pascal MISS	Signature:	1138	Date: 23.05.06	
Name: Maxime MUNDEL	Signature:	wif	Date: 25. 08.06	
Name: Mathieu MOUGEY	Signature:	MM	Date: 23 05 06	
NAMES AND SIGNATURES OF WITNESSES				
Name: Jan NESTLER	Signature:	1 War	Date:2006/06/20	
Name: Gisela REUSRATH	Signature:	lusnak	Date: 2006/06/20	

Note: *Prima facie* evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.

# APPENDIX B



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 29, 2005

**PTAS** 

RICHARD L. SCHWAAB FOLEY & LARDNER LLP WASHINGTON HARBOUR 3000 K STREET, N.W., SUITE 500 WASHINGTON, D.C. 20007-5143

CORRECTED NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 02/03/2005

REEL/FRAME: 016228/0188

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BRUN, MICHEL

DOC DATE: 11/05/2004

ASSIGNOR:

SCHMITTHEISLER, CHRISTOPHE

DOC DATE: 11/04/2004

ASSIGNOR:

MISS, PASCAL

DOC DATE: 11/04/2004

ASSIGNOR:

MUNDEL, MAXIME

DOC DATE: 11/05/2004

ASSIGNOR:

PAPI, YANNICK

DOC DATE: 11/05/2004

ASSIGNOR:

MOUGEY, MATHIEU

DOC DATE: 11/04/2004

016228/0188 PAGE 2

ASSIGNEE:

BEHR FRANCE S.A.R.L. 5, AVENUE DE LA GARE 68250 ROUFFACH, FRANCE

SERIAL NUMBER: 10959608 FILING DATE: 10/07/2004

PATENT NUMBER: ISSUE DATE:

TITLE: PTC HEATING UNIT SUITABLE FOR USE IN MOTOR VEHICLES

DOROTHY WILLIAMS, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

Atty. Dkt. No. 016906-0354

FORM PTO-1595 (modified) 02 - 08 - 2	2005
	U.S. DEPARTMENT OF COMMERCI
To the Director of the United States Patent and Trademerk United States	The attached original documents or copies thereof.
Name of conveying party(ies):	Name and address of receiving party(les):
7 D. Minhal DDIIN (44/06/04)	•
Michel BRUN (11/05/04) Christophe SCHMITTHEISLER (11/9/1045)	
Pascal MISS (11/04/04)	BEHR FRANCE S.A.R.L.
Maxime MUNDEL (11/05/04)	5, Avenue de la Gare
`	68250 Rouffach, France
Yannick PAPI (11/05/04)  Mathieu MOUGEY (11/04/04)	
Mathieu MOUGEY (11/04/04)	7
TA DEMARK	·
Additional conveying party(les) NO	<u>.                                    </u>
3. Nature of conveyance:	
ASSIGNMENT	
Execution Date:	
see above dates	Additional name(s) & address(es) attached? NO
4. Application number(s) or patent number(s):	
If this is being filed together with a new application, the e	execution date of the application is:
A. Patent Application Number(s):	B. Patent Number(s):
10/959,608	
	·
	nbers attached? NO
5. Name and address of party to whom correspondence	6. Total number of applications/patents involved:
concerning document should be malled:	
Richard L. Schwaab	7. Total fee (37 C.F.R. § 3.41): \$40.00
FOLEY & LARDNER LLP	X Check Enclosed
Washington Harbour	
3000 K Street, N.W., Suite 500	Charge to deposit account
Washington, D.C. 20007-5143	8. Deposit account number: 19-0741
DO NOT U	SE THIS SPACE
9. Statement and signature: To the best of my knowledge and belief, the foregoi. copy of the original document. The Commissioner is hereby be required in this matter to the above-identified deposit acc	ing information is true and correct and any attached copy is a true y authorized to charge any additional recordation fees which may sount.
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Richard L. Schwaab	Behavior - 12863 2003
Name of person signing	Signature Date
Total number of o	ages including cover sheet, attachments, and document: 3
07/2005 ECDOPER 00000151 10959608	
FCx8021 40,00 gp	
1 FC:8021 40.00 OP	

Amorney Docket No.: 016906/0354

U.S. Rights-Sole or Joint

#### ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

name and

BEHR FRANCE S.A.R.L.

address of

5, Avenue de la Gare

assignee

68250 Rouffach, France

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to this invention relating to

title of invention

#### PTC HEATING UNIT SUITABLE FOR USE IN MOTOR VEHICLES

as set forth in this United States Patent Application

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	Serial No.	10/959,608	Filed	October 7, 2004

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

002.1275320.1 -1-

Assorney Docket No.: 016906/0354

Each of the undersigned hereby grants the firm of FOLEY & LARDNER LLP the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

NAMES AND SIGNATURES OF INVENTORS				
Name: Michel BRUN	Signature:	Date: 5. 11.04		
Name: Christophe SCHMITTHEISLER	Signature:	Date: 4/11/04		
Name: Pascal MISS	Signature: 1258	Date: Of Im 10 4		
Name: Maxime MUNDEL	Signature: Mudd	Date: 07. 11.04		
Name: Yannick PAPI	Signature:	Date:05/11/09		
Name: Mathieu MOUGEY	Signature /////	Date: 04. 11. 04		
NAMES AND SIGNATURES OF WITNESSES				
Name: Dr. Andreas GRAUEL	Signature:	Date: 11/10/200		
Name: Gisela REUSRATH	Signature: J. Reus coff	Date: 11/10/200		

Note: *Prima facie* evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.

TO: FOLEY & LARDNER LLP COMPANY: 3000 K STREET NW



Under Secretary of Commerce for Intellectual Property and

DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



JULY 14, 2009

PTAS

FOLEY & LARDNER LLP

3000 K STREET NW SUITE 600 WASHINGTON, DC 20007

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 5.71-2.72-3.350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/14/2009 REEL/FRAME: 022951/0293

NUMBER OF PAGES: 4

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 016906-0354

ASSIGNOR:

BEHR FRANCE S.A.R.L.

DOC DATE: 12/01/2004

**ASSIGNEE:** 

BEHR FRANCE ROUFFACH SAS 5, AVENUE DE LA GARE ROUFFACH, FRANCE 68250

FILING DATE: 10/07/2004 SERIAL NUMBER: 10959608 PATENT NUMBER: 7399948 ISSUE DATE: 07/15/2008

TITLE: PTC HEATING UNIT SUITABLE FOR USE IN MOTOR VEHICLES.

USPTO 7/15/2009 6:48:32 AM PAGE 3/004 Fax Server

TO:FOLEY & LARDNER LLP COMPANY:3000 K STREET NW

022951/0293 PAGE 2

MARY BENTON, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

USPTO

7/15/2009 6:48:32 AM PAGE 4/004. Fax Server

TO: FOLEY & LARDNER LLP COMPANY: 3000 K STREET NW

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Electronic Version v1.1 Stylesheet Version v1.1

07/14/2009 500909971

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

#### **CONVEYING PARTY DATA**

Name	Execution Date
BEHR FRANCE S.A.R.L.	12/01/2004

#### RECEIVING PARTY DATA

Name:	BEHR FRANCE ROUFFACH SAS
Street Address:	5, Avenue de la Gare
City:	Rouffach
State/Country:	FRANCE
Postal Code:	68250

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Patent Number:	7399948

#### CORRESPONDENCE DATA

Fax Number:

(202)672-5399

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone:

2026725300

Email:

cverret@foley.com

Correspondent Name:

Foley & Lardner LLP

Address Line 1:

3000 K Street NW

Address Line 2:

Sulte 600

Address Line 4:

Washington, DISTRICT OF COLUMBIA 20007

ATTORNEY DOCKET NUMBER:	016906-0354
NAME OF SUBMITTER:	Paul D. Strain

Total Attachments: 3

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### EXTRACT FROM THE COMMERCIAL AND **COMPANIES REGISTER**

PRINCIPAL ENTRY IN THE COMMERCIAL AND COMPANIES REGISTER DATED 06/10/1969 COMMERCIAL REGISTER No. R.C.S. [C.C.R.] COLMAR TI [DISTRICT COURT] 916 920 697 MANAGEMENT No. 69 B 69 CORPORATE NAME OR TRADING NAME BEHR FRANCE ROUFFACH **ACRONYM** NONE COMMERCIAL NAME NONE FORM AND CAPITAL Société par actions simplifiée [Simplified joint-stock company] WITH CAPITAL OF EUR 30 000 000.00 (FIXED) ADDRESS OF THE REGISTERED OFFICE 5, AV. DE LA GARE - 68250 ROUFFACH MANAGEMENT OF THE COMPANY - Chairman USED NAME: MR. BAUMERT FIRST NAME(S): Henry 8, RUE ALBERT SCHWEITZER - 67150 GERSTHEIM FRENCH NATIONALITY BORN ON 03/30/1949 AT ERSTEIN (67) - Managing Director USED NAME: MR. BAYER FIRST NAME(S): Jürgen STAUFENER STRASSE 3A D 79206 BREISACH (FRG) **GERMAN NATIONALITY** BORN ON 03/12/1954 AT NELLINGEN (FRG) - Managing Director PATRONYMIC NAME: MR. VERDEILLE FIRST NAME(S): Nicolas, Pierre, Charles 15, RUE DESMONT DUPONT - 92700 COLOMBES FRENCH NATIONALITY BORN ON 03/12/1962 AT RODEZ (12) - Regular Auditor USED NAME: MR. HIRSCHY FIRST NAME(S): J.i. 48, RUE DE LA REPUBLIQUE - 68040 INGERSHEIM FRENCH NATIONALITY - Alternate Auditor **USED NAME:** MR. HIRSCHY FIRST NAME(S): Christian 48, RUE DE LA REPUBLIQUE - 68040 INGERSHEIM FRENCH NATIONALITY ORIGIN OF BUSINESS CREATION

**ACTIVITY CARRIED ON** 

MANUFACTURE, MOUNTING, ASSEMBLY AND SALE OF MECHANICAL PARTS AND MORE GENERALLY ANY FINANCIAL, COMMERCIAL OR INDUSTRIAL OPERATIONS AND OPERATIONS RELATING TO MOVABLE OR IMMOVABLE PROPERTY

EXTRACT (CONTINUATION)
COMMERCIAL AND COMPANIES
REGISTER COLMAR
DISTRICT COURT 916 920 697
MANAGEMENT No. 69 B 69

ADDRESS OF THE PRINCIPAL PLACE OF BUSINESS 5, AV. DE LA GARE - 68250 ROUFFACH  DATE OF COMMENCEMENT OF THE OPERATION  06/10/1969  FIRST NOTICE PUBLISHED IN THE B.O.D.A.C.C.  [OFFICIAL BULLETIN OF CIVIL AND COMMERCIAL NOTICES]  FORMER PROPRIETOR/OPERATOR  NONE  TITLE AND DATE OF THE JOURNAL FOR LEGAL ANNOUNCEMENTS  NONE
FIRST NOTICE PUBLISHED IN THE B.O.D.A.C.C. [OFFICIAL BULLETIN OF CIVIL AND COMMERCIAL NOTICES]  FORMER PROPRIETOR/OPERATOR  NONE  TITLE AND DATE OF THE JOURNAL FOR LEGAL ANNOUNCEMENTS  NONE
[OFFICIAL BULLETIN OF CIVIL AND COMMERCIAL NOTICES]  FORMER PROPRIETOR/OPERATOR  NONE  TITLE AND DATE OF THE JOURNAL FOR LEGAL ANNOUNCEMENTS  NONE
TITLE AND DATE OF THE JOURNAL FOR LEGAL ANNOUNCEMENTS NONE
ELECTION OF DOMEST FOR COLOUR SAME
ELECTION OF DOMICILE FOR OPPOSITIONS NONE
CORPORATE PURPOSE  THE CORPORATE PURPOSE NO LONGER HAS TO BE STATED IN THE REGISTRATIC APPLICATION AND IS REPLACED BY THE PRINCIPAL ACTIVITIES OF THE UNDERTAKING. DECREE NO. 87-970 OF 12/03/87.
TERM OF THE COMPANY 49 YEARS, FROM 06/10/1969 TO 06/09/2018
DATE OF CLOSURE OF THE CORPORATE FINANCIAL PERIOD 12/31 .
DATE AND NO. OF FILING OF THE DOCUMENT AT THE REGISTRY  05/08/1969  TITLE AND DATE OF THE JOURNAL FOR LEGAL ANNOUNCEMENTS  PETITES AFFICHES DU HAUT RHIN, 05/04/1969  METHOD OF OPERATION OF THE BUSINESS
DIRECT OPERATION
ANNEXES AMENDMENT OF 03/02/2005 NO. 0-894 Other amendment CONVERSION OF THE LIMITED LIABILITY COMPANY INTO A SIMPLIFIED JOINT-STOC COMPANY APPOINTMENT OF THE CHAIRMAN: MR. HENRY BAUMERT (FORMER POSITION: MANAGER) APPOINTMENT OF MANAGING DIRECTOR: MR. NICOLAS VERDEILLE CHANGE OF CORPORATE NAME: * FORMER: BEHR FRANCE * NEW: BEHR FRANCE CHANGE ROUFFACH EFFECTIVE DATE: 12/01/2004
DBSERVATIONS NONE
OTHER PLACES OF BUSINESS WITHIN THE JURISDICTION NONE
ECONDARY REGISTRATIONS  COMMERCIAL AND COMPANIES REGISTER OF NANTERRE 2004 B 7138 - ENTERED OF 12/22/2004
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EXTRACT (CONTINUATION)
COMMERCIAL AND COMPANIES
REGISTER COLMAR
DISTRICT COURT 916 920 697
MANAGEMENT No. 69 B 69

03/02/2005 - PAGE No.

3/3

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END OF THE EXTRACT COMPRISING 3 PAGES

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CERTIFIED TRUE COPY ISSUED ON

03/02/2005

THE REGISTRAR:

[signature and stamp]

TO: FOLEY & LARDNER LLP COMPANY: 3000 K STREET NW



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



\*500916144A\*

JULY 22, 2009

PTAS

FOLEY & LARDNER LLP 3000 K STREET NW SUITE 600 WASHINGTON, DC 20007

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/22/2009 REEL/FRAME: 022980/0776 NUMBER OF PAGES: 9

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE ASSIGNMENT DOCUMENT BY INCLUDING A COPY OF THE ORIGINAL FRENCH COMMERCIAL REGISTER. PREVIOUSLY RECORDED ON REEL 022951 FRAME 0293. ASSIGNOR(S) HEREBY CONFIRMS THE NAME CHANGE TO BEHR FRANCE ROUFFACH SAS.

DOCKET NUMBER: 016906-0354

ASSIGNOR:

BEHR FRANCE S.A.R.L.

DOC DATE: 12/01/2004

ASSIGNEE:

BEHR FRANCE ROUFFACH SAS 5, AVENUE DE LA GARE ROUFFACH, FRANCE 68250

SERIAL NUMBER: 10959608 FILING DATE: 10/07/2004 PATENT NUMBER: 7399948 ISSUE DATE: 07/15/2008 TITLE: PTC HEATING UNIT SUITABLE FOR USE IN MOTOR VEHICLES

USPTO 7/22/2009 10:23:15 AM PAGE 3/005 Fax Server

TO: FOLEY & LARDNER LLP COMPANY: 3000 K STREET NW

022980/0776 PAGE 2

ALLYSON PURNELL, EXAMINER ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

PAGE 4/005

Fax Server

TO: FOLEY & LARDNER LLP COMPANY: 3000 K STREET NW

#### PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1 07/22/2009 500916144

SUBMISSION TYPE:

CORRECTIVE ASSIGNMENT

NATURE OF CONVEYANCE:

Corrective Assignment to correct the Assignment document by including a copy of the original French commercial register, previously recorded on Reel 022951 Frame 0293. Assignor(s) hereby confirms the Name Change to BEHR FRANCE ROUFFACH SAS.

#### **CONVEYING PARTY DATA**

Name	Execution Date
BEHR FRANCE S.A.R.L.	12/01/2004

#### **RECEIVING PARTY DATA**

Name:	BEHR FRANCE ROUFFACH SAS
Street Address:	5, Avenue de la gare
City:	Rouffach
State/Country:	FRANCE
Postal Code:	68250

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Patent Number:	7399948

#### **CORRESPONDENCE DATA**

Fax Number:

(202)672-5399

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone:

2026725300

Email:

cverret@foley.com

Correspondent Name:

Foley & Lardner LLP

Address Line 1:

3000 K Street NW

Address Line 2:

Sulte 600

Address Line 4:

Washington, DISTRICT OF COLUMBIA 20007

ATTORNEY DOCKET NUMBER: 016906-0354

NAME OF SUBMITTER:

Paul D. Strain

Total Attachments: 9

USPTO . 7/22/2009 .10:23:15 AM PAGE 5/005 Fax Server

TO: FOLEY & LARDNER LLP COMPANY: 3000 K STREET NW

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H2/03/2005

**GREFFE** DU TRIBUNAL D'INSTANCE DE COLMAR (68-51)

Modèle K BIS

### EXTRAIT DU REGISTRE DU COMMERCE ET DES SOCIETES

IMMATRICULATION PRINCIPALE AU R.C.S. EN DATE DU 10/06/1969 No DE REGISTRE DU COMMERCE \*\* \* y y \* R.C.S. COLMAR TI 916 920 697 No de GESTION 69 B 69 RAISON SOCIALE OU DENOMINATION BEHR FRANCE ROUFFACH NEANT SIGLE NOM COMMERCIAL NEANT FORME ET CAPITAL Société par actions simplifiée AU CAPITAL DE 30 000 000.00 EUR (FIXE) ADRESSE DU SIEGE SOCIAL 5, AV. DE LA GARE - 68250 ROUFFACH ADMINISTRATION DE LA SOCIETE

-Président

NOM D'USAGE

; M. BAUMERT

PRENOM(S) ; Henry

8, RUE ALBERT SCHWEITZER - 67150 GERSTHEIM

NATIONALITE FRANÇAISE

NE(E) LE 30/03/1949 A ERSTEIN (67)

–Directeur général

NOM D'USAGE PRENOM(S)

: M, BAYER : Jûrgen

STAUFENER STRASSE 3A D 79206 BREISACH (RFA)

NATIONALITE ALLEMANDE

NE(E) LE 12/03/1954 A NEULINGEN (RFA)

--- Directeur général
NOM PATRONYMIQUE : M. VERDEILLE
PRENOM(S) : Nicolas, Pierre, Charles
15, RUE DESMONT DUPONT - 92700 COLOMBES

NATIONALITE FRANCAISE

NE(E) LE 12/03/1962 A RODEZ (12)

Commissaire aux comptes titulaire

NOM DUSAGE

: M. HIRSCHY

PRENOM(S) : J.j.

48, RUE DE LA REPUBLIQUE - 68040 INGERSHEIM

NATIONALITE FRANCAISE

---Commissaire aux comptes suppléant

NOM D'USAGE PRÉNOM(S)

; M. HIRSCHY : Christian

48, RUE DE LA REPUBLIQUE . 68040 INGERSHEIM

NATIONALITE FRANCAISE

#### ORIGINE DU FONDS

CREATION

FABRICATION, MONTAGE, ASSEMBLAGE ET NEGOCE DE PIECES MECANIQUES ET PLUS GENERALEMENT TOUTES OPERATIONS FINANCIERES, COMMERCIALES, INDUSTRIELLES MOBILIERES ET IMMOBILIERES

EXTRAD (SUDE)	02/03/2005	- FOLIO No	2/ 3
R.C.S. COLMAR TI 916 920 697 No de GESTION 69 B 69		•	
ENSEIGNE	NEANT		
ADRESSE DU PRINCIPAL ÉTABLISSEMENT 5, AV. DE LA GARE - 68250 ROUFFACH			All
DATE DE COMMENCEMENT DE L'EXPLOITATION	10/06/1969		
PREMIER AVIS PUBLIE AU B.O.D.A.C.C.	NEANT		
PROPRIETAIRE - EXPLOITANT PRECEDENT	NEANT		
FITRE ET DATE DU JOURNAL D'ANNONCES LEGALES	NEANT.		
ELECTION DE DOMICILE POUR LES OPPOSITIONS	NEANT		
DBJET SOCIAL L'OBJET SOCIAL N'A PLUS A ETRE DECLARE DANS LA DEMANDE D'IMMAT PRINCIPALES DE L'ENTREPRISE, DECRET NO 87-970 DU 03/12/87.	riculation et est r	EMPLACE PÅR LES	S ACȚIVITES
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ATE DE CLOTURE DE L'EXERCICE SOCIAL 31/12			
ATE ET NO DE DEPOT DE L'ACTE AU GREFFE LE 08/05/1969 TTRE ET DATE DU JOURNAL D'ANNONCES LEGALES PETITES AFFICHES DU HAUT RHIN, LE 04/05/1969			
IODE D'EXPLOITATION DU FONDS			
EXPLOITATION DIRECTE	•		• •
	: GERANT)		
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utres etablissements dans le ressort	NEANT		
AMATRICULATIONS SECONDAIRES  R.C.S. NANTERRE 2004 B 7138 - INSCRIPTION LE 22/12/2004			
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R.C.S. COLMAR TI 916 920 097 No de GESTION 69 B 69				
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FIN DE L'EXTRAIT COMPRENANT 3 PAGES

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POUR EXTRAIT CERTIFIE CONFORME ET DELIVRE LE

02/03/2005

LE GREFFIER:



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## EXTRACT FROM THE COMMERCIAL AND COMPANIES REGISTER

PRINCIPAL ENTRY IN THE COMMERCIAL AND COMPANIES REGISTER DATED 06/10/1969 COMMERCIAL REGISTER No. R.C.S. [C.C.R.] COLMAR TI [DISTRICT COURT] 916 920 697 MANAGEMENT No. 69 B 69 CORPORATE NAME OR TRADING NAME BEHR FRANCE ROUFFACH **ACRONYM** NONE NONE COMMERCIAL NAME FORM AND CAPITAL Société par actions simplifiée [Simplified joint-stock company] WITH CAPITAL OF EUR 30 000 000.00 (FIXED) ADDRESS OF THE REGISTERED OFFICE 5, AV. DE LA GARE - 68250 ROUFFACH MANAGEMENT OF THE COMPANY - Chairman **USED NAME:** MR. BAUMERT FIRST NAME(S): Henry 8, RUE ALBERT SCHWEITZER - 67150 GERSTHEIM FRENCH NATIONALITY BORN ON 03/30/1949 AT ERSTEIN (67) - Managing Director USED NAME: MR. BAYER FIRST NAME(S): Jürgen STAUFENER STRASSE 3A D 79206 BREISACH (FRG) GERMAN NATIONALITY BORN ON 03/12/1954 AT NELLINGEN (FRG) - Managing Director PATRONYMIC NAME: MR. VERDEILLE FIRST NAME(S): Nicolas, Pierre, Charles 15, RUE DESMONT DUPONT - 92700 COLOMBES FRENCH NATIONALITY BORN ON 03/12/1962 AT RODEZ (12) - Regular Auditor **USED NAME:** MR. HIRSCHY FIRST NAME(S): 48, RUE DE LA REPUBLIQUE - 68040 INGERSHEIM FRENCH NATIONALITY - Alternate Auditor **USED NAME:** MR. HIRSCHY FIRST NAME(S): Christian 48, RUE DE LA REPUBLIQUE - 68040 INGERSHEIM FRENCH NATIONALITY ORIGIN OF BUSINESS **CREATION ACTIVITY CARRIED ON** MANUFACTURE, MOUNTING, ASSEMBLY AND SALE OF MECHANICAL PARTS AND MORE GENERALLY ANY FINANCIAL, COMMERCIAL OR INDUSTRIAL OPERATIONS AND OPERATIONS

RELATING TO MOVABLE OR IMMOVABLE PROPERTY

EXTRACT (CONTINUATION)
COMMERCIAL AND COMPANIES
REGISTER COLMAR
DISTRICT COURT 916 920 697
MANAGEMENT No. 69 B 69

EMBLEM	NONE
ADDRESS OF THE PRINCIPAL PLACE OF BUSINESS 5, AV. DE LA GARE - 68250 ROUFFACH	
DATE OF COMMENCEMENT OF THE OPERATION	06/10/1969
FIRST NOTICE PUBLISHED IN THE B.O.D.A.C.C. [OFFICIAL BULLETIN OF CIVIL AND COMMERCIAL NOTICES]	NONE
FORMER PROPRIETOR/OPERATOR	NONE
TITLE AND DATE OF THE JOURNAL FOR LEGAL ANNOUNCEMENTS	S NONE
ELECTION OF DOMICILE FOR OPPOSITIONS	NONE
CORPORATE PURPOSE  THE CORPORATE PURPOSE NO LONGER HAS TO B APPLICATION AND IS REPLACED BY THE PRINCIPAL ACTIV DECREE NO. 87-970 OF 12/03/87.	
TERM OF THE COMPANY 49 YEARS, FROM 06/10/1969 TO 06/09/2018	
DATE OF CLOSURE OF THE CORPORATE FINANCIAL PERIOD	12/31
DATE AND NO. OF FILING OF THE DOCUMENT AT THE REGISTRY 05/08/1969 TITLE AND DATE OF THE JOURNAL FOR LEGAL ANNOUNCEMENTS PETITES AFFICHES DU HAUT RHIN, 05/04/1969	3
METHOD OF OPERATION OF THE BUSINESS DIRECT OPERATION	
ANNEXES  AMENDMENT OF 03/02/2005 NO. 0-894 Other amendment CONVERSION OF THE LIMITED LIABILITY COMPANY COMPANY APPOINTMENT OF THE CHAIRMAN: MR. HENRY BAUMERT APPOINTMENT OF MANAGING DIRECTOR: MR. NICOLAS V CHANGE OF CORPORATE NAME:  * FORMER: BEHR FRANCE  * NEW: BEHR FRANCE ROUFFACH EFFECTIVE DATE: 12/01/2004	(FORMER POSITION: MANAGER)
OBSERVATIONS	NONE
OTHER PLACES OF BUSINESS WITHIN THE JURISDICTION	NONE
SECONDARY REGISTRATIONS  COMMERCIAL AND COMPANIES REGISTER OF NANTE	ERRE 2004 B 7138 - ENTERED ON

EXTRACT (CONTINUATION)
COMMERCIAL AND COMPANIES
REGISTER COLMAR
DISTRICT COURT 916 920 697
MANAGEMENT No. 69 B 69

03/02/2005 - PAGE No.

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